IRW

Attorney Docket No. 15437-0598



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

in te application of.	) Confirmation N	10. 4500
Ozgur C. Leonard, et al.	) Committation P	10.1 4300
Serial No.: 10/762,066	) Examiner: NY	A
Serial 140 10/702,000	) Group Art Unit	No.: 2171
Filed on: January 20, 2004	)	

For: System Statistics Virtualization for Operating System Partitions

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e)
	1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first

		cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
		1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
		A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §	1.97(d). If so, then this Information Disclosure Statement includes g:
		A statement pursuant to 37 C.F.R. §1.97(e)
		1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR
		1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
		AND
		A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.
· <del>- · · · · · ·</del>		1.97(i). Wherein applicants are submitting references before the tent to be placed in the file but not considered by the Patent office.
	1449 ar	ingly, copies of the references as listed on the attached Form PTO e submitted herewith for placement in the file. No certification or deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

Dated: November 22, 2004

HICKMAN PALERMO TRUONG & BECKER LLP

Christian A. Nicholes Reg. No. 50,266

1600 Willow Street

San Jose, California 95125-5106

Telephone: (408) 414-1080 Facsimile: (408) 414-1076

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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Roxanne Balanga Humphreys

(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence)

## INFORMATION DISCLOSURE CITATION APPLICATION

(PTO-1449)

**ATTY. DOCKET NO.** 15437-0598

**SERIAL NO.** 10/762,066

APPLICANT:

Ozgur C. Leonard, et al.

FILING DATE: January 20, 2004

**GROUP:** 2171

Exam. Initial*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns Lines, Where
minar	NO.	Number	Kind Code <sup>2</sup> (If known)	of Cheu Document	MM-DD-YYYY	Relevant Passages or Relevant Figures Appear
		5,325,526		Cameron, et al.	6/28/1994	
		5,845,116		Saito, et al.	12/1/1998	
		5,437,032		Wolf, et al.	6/25/1995	
	-					

	FOREIGN PATENT DOCUMENTS							
Exam. Initial*	Cite No.	Fo Office <sup>3</sup>	reign Patent D Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		EP	1228038	8 A2	Tanaka, Katsuyoshi	2/5/2003		
		EP	1043658	8 A1	Rogier, Pierre	10/11/2000		

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		European Search Report from the European Patent Office for Foreign Patent Application No. 04252690.5 (3 pgs.)	
		Claims As Filed in European Patent Application No. 04252690.5 (6 pgs.)	

Examiner	Date Considered
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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